

Key Information Memorandum and Common Application Form

Offer of Units at NAV based prices



Escorts Growth Plan
(An Open Ended Growth Scheme)

Escorts Opportunities Fund
(An Open Ended Growth Scheme)

Escorts High Yield Equity Plan
(An Open Ended Growth Scheme)

Escorts Gilt Plan
(An Open Ended Gilt Scheme)

Escorts Leading Sectors Fund
(An Open Ended Growth Scheme)

Escorts Income Plan
(An Open Ended Income Scheme)

Escorts Power & Energy Fund
(An Open Ended Growth Scheme)

Escorts Income Bond
(An Open Ended Income Scheme)

Escorts Tax Plan
(An Open Ended Equity Linked Saving Scheme)

Escorts Liquid Plan
(An Open Ended Liquid Scheme)

Escorts Balanced Fund
(An Open Ended Balanced Scheme)

Escorts Floating Rate Fund
(An Open Ended Income Scheme)

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme(s)/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investor's rights & services, risk factor, penalties & pending litigations, associate transactions etc. investors should, before investment, refer to the Statement of Additional Information (SAI), Scheme Information Documents (SID)/ Offer Document(s) and Addenda thereto available free of cost at any of the Investors Service Centers, from their broker or from website www.escortsmutual.com. The particulars of the Scheme(s) have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date and filed with Securities and Exchange Board of India (SEBI). The Units being offered for public subscription have not been approved or disapproved by SEBI nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated 31st October 2009

Asset Management Company : Escorts Asset Management Ltd. **Trustee** : Escorts Investment Trust Ltd. **Sponsor**: Escorts Finance Ltd.

Name of the Scheme	Escorts Growth Plan		Escorts High Yield Equity Plan		Escorts Leading Sectors Fund																																																													
Investment Objective	To generate Capital Appreciation by investing predominantly in a well diversified portfolio of Equity Shares with growth potential. This income may be complemented by possible dividend and other Income.		To generate income by investing predominantly in well diversified portfolio of equity stocks providing high dividend yield but at the same time capture long term capital appreciation as and when the opportunity arises .This long style of investment tries to locate , in a disciplined manner, shares ,which for a variety of reason are selling at prices which are substantially lower than the company's actual business value or future earnings potential, and are also yielding a higher return than normal dividend yield. These companies would be backed by stable earnings in the past while offering fair growth potential in the future.		The investment objective of the scheme is to provide capital appreciation or income distribution by investing in companies from Leading Sectors, depending upon their growth prospects and sustainability of future earnings growth.																																																													
Asset Allocation (% of Net Assets)	Equity Shares and Equity related Instruments: 80 - 90 (Max 100), Fixed Income Securities and Money Market Instruments (including Securitised Debt not exceeding 10%):0 – 20, Units of other Mutual Fund Scheme(s) :0 – 5		Equity Shares and Equity related Instruments: 65-100,Debt Instruments,(Govt. Bonds, Money Market Instruments etc.): 0 – 25, Securitised Debt : 0-10, Units of other Mutual Fund Scheme(s): 0 – 5		Equity Shares and Equity related Instruments: 70-100, Debt Instruments, Govt. Bonds, Money Market Instruments etc.: 0-30																																																													
Risk Profile of the Scheme	Mutual Fund investments are subject to market risks. Please read the SID/ Offer Document carefully for details on risk factors before investment.																																																																	
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6 Months	80.99%	68.29%	54.34%	74.38%	77.91%	68.29%																																																												
1 Year	29.96%	29.65%	21.91%	33.11%	16.33%	29.65%																																																												
3 Years	7.09%	12.31%	N/A	N/A	N/A	N/A																																																												
5 Years	22.75%	23.84%	N/A	N/A	N/A	N/A																																																												
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Actual Expenses for the previous FY 2008-2009 (Audited)	Rs 0.06 Crore		0.11 Crore		Rs.0.04 Crore																																																													
Tax Treatment for the Investors (unitholders)	Investors are advised to refer to the details in the Statement of Additional Information (SID)and also independently refer to their tax advisor.																																																																	
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Name of the Scheme	Escorts Power & Energy Fund		Escorts Tax Plan-(ELSS)		Escorts Balanced Fund																																																													
Investment Objective	The Investment Objective of the scheme is to provide income distribution and/or medium to long term-capital gains by investing predominantly in Equity/Equity related instruments of the companies in the Power / Energy Sector and /or Debt/Money Market instruments.		To generate Capital Appreciation by investing predominantly in a well diversified portfolio of Equity Shares with growth potential. This Income may be complemented by possible dividend and other income.		To generate long term Capital Appreciation and current income from a portfolio of equity & fixed income securities.																																																													
Asset Allocation (% of Net Assets)	Equity Shares and Equity related Instruments: 65-100, Debt Instruments, Govt. Bonds, Money Market Instruments etc.: 0 – 35		Equity, Cumulative Convertible Preference Shares & Fully Convertible Debentures and Bonds: 80 – 100, Money Market Instruments; 0 – 20		Equity Shares and Equity related Instruments: 55- 80,Debt Instruments, Govt. Bonds etc.: 20-45																																																													
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Actual Expenses for the previous FY 2008-2009 (Audited)	Rs 0.02 Crore		Rs.0.07 Crore		Rs.0.03 Crore																																																													
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Name of the Scheme	Escorts Income Plan		Escorts Gilt Plan		Escorts Opportunities Fund																																																																
Investment Objective	To generate current income by investing predominantly in a well diversified portfolio of Fixed Income securities with moderate risk levels. This income may be complemented by possible Capital Appreciation.		To generate income and capital appreciation through investments in Government Securities . The aim is to generate returns commensurate with minimal credit risk by investing in securities created and issued by the Central Government and/or a State Government and/or repos/reverse repos in such government securities as may be permitted by RBI.		The scheme seeks stable and regular income through an actively managed portfolio of stocks, bonds and money market instruments. The asset allocation is dynamically planned to capture the best of the opportunities in equity and debt Exposure in equity is a blend of large and mid cap stocks, skewed largely towards the well-researched blue chips. In debt, the scheme invests mainly in Central and State Government debt papers besides PSUs and bank bonds. The highlight of the scheme is the extensive use of derivatives not only as a hedging tool but also in generating regular income, which in turn enhances the overall portfolio's risk-adjusted return.																																																																
Asset Allocation (% of Net Assets)	Money Market Instruments: 10 – 20; Fixed Income Securities: 80 - 90 (max 100); Equity and Equity Linked Instrument: 0 - 10 (max 20); Units of other Mutual Funds: 0 - 5 (max 5);		Government Securities: 80 – 100; Money Market Instruments: 0 – 20;		Fixed Income Securities / Money Market Instruments: 0 – 49, Equity and Equity related Instruments: 51 – 100;																																																																
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4. SCHEMES [(PLEASE (✓))]

- | | | | |
|---|--|---|---|
| <input type="checkbox"/> Escorts Income Plan | <input type="checkbox"/> Escorts Gilt Plan | <input type="checkbox"/> Escorts Opportunities Fund | <input type="checkbox"/> Escorts Growth Plan |
| <input type="checkbox"/> Escorts Liquid Plan | <input type="checkbox"/> Escorts Floating Rate Fund | <input type="checkbox"/> Escorts Balanced Fund | <input type="checkbox"/> Escorts Tax Plan |
| <input type="checkbox"/> Escorts Leading Sectors Fund | <input type="checkbox"/> Escorts Power & Energy Fund | <input type="checkbox"/> Escorts Income Bond | <input type="checkbox"/> Escorts High Yield Equity Plan |

Minimum application amount is Rs.1000/- for all the schemes except for Tax Plan which is Rs. 500/-
 Amount in Figures (Rs.) ----- D.D Charges (Rs.) ----- Net Amount (Rs.) -----
 Cheque/Draft No. Date Amount in Words (Rs.)
 Bank Name/Branch

Cheque/Draft payable in favour of "Escorts Income Plan / Escorts Gilt Plan/Escorts Opportunities Fund/Escorts Growth Plan/Escorts Liquid Plan/
 Escorts Floating Rate Fund/Escorts Balanced Fund/Escorts Tax Plan/Escorts Leading Sectors Fund/Escorts Power & Energy Fund/Escorts Income
 Bond/Escorts High Yield Equity Plan.

- Growth Plan*
 Dividend Plan
 Daily Weekly Monthly
 () Payout () Reinvestment
 Bonus Option (Please (✓))
- * Default Option growth

5. SYSTEMATIC INVESTMENT PLAN (SIP) (For Auto Debit Please use SIP Auto Debit Form)

Frequency Monthly Quarterly Enrolment Period From..... (dd/mm/yy) To..... (dd/mm/yy)
 Please find enclosed my/our Cheque of Rs.* [] Each for [] Months/quarters. The Cheque date should be either 1st or 10th or 25th every month/quarter.
 *Minimum Rs.1000/- (Monthly) *Minimum Rs. 1500/- (Quarterly) SIP Date 1st 10th 25th
 Cheque Nos. From [] To []
 Drawn on Bank [] Branch []

6. SYSTEMATIC WITHDRAWAL PLAN (SWP) [(Please Tick (✓))]

Frequency Monthly Quarterly Half Yearly SWP Date 1st 10th
 Scheme []
 Fixed Amount (Rs.) # [] OR Capital Appreciation
 #Minimum Rs.1000/- Period from: M/Y M/Y.....
 * In case of Application Withdrawal Option Please note that first withdrawal would be effected after a month/quarter from the start date.

7. SYSTEMATIC TRANSFER PLAN (STP)

Frequency Monthly Quarterly Half Yearly
 From ELP/EFRF to Fixed amount (Rs.) (Min Rs.1000/-)
 (Minimum balance should be Rs.1 Lakh) Capital Appreciation (Min. Rs.500/-)
 No. Of installments [] (Min. 6 installments)
 Transfer Date 1st of every month/beginning of quarter 15th of every month/beginning of quarter

8. NOMINATION

Name of the Nominee _____ Name of the Guardian _____
 Address: _____ (if the Nominee is minor)
 Relationship (if applicable) _____

I/We hereby nominate the above person to receive all the amounts to my credit in the event of my/our death. Payment to the nominee of the redemption amount shall discharge the Mutual Fund of all liability towards the estate of the deceased Unit holder(s) and his/her/their successor(s)/ legal heir(s).

9. E-MAIL COMMUNICATION (Optional)

I/We wish to receive via e-mail Account Statement Quarterly Review & Annual Report Change Of Address Transaction Confirmation

10. DECLARATION

The Trustee
 Escorts Mutual Fund,
 I/We have read and understood the offer document(s) of Escorts Mutual Fund. I/We apply for the units of the scheme(s) and I/we agree to abide by the terms, conditions, rules and regulations of the scheme. I/We confirm to have understood the term & conditions. Its investment objectives, investment pattern, fundamental objectives and risk factors applicable to the respective Fund(s). I/We agree to abide by the terms, conditions, rule and regulations of the plans(s). I/We understood the details of the scheme and I/We have not received not been induced by any rebater gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions(in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.
 APPLICABLE FOR NRIs/OCBs
 I/We confirm that I am/We are Non-Resident(s) of Indian Nationality/Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External Account / FCNR
 Account. Yes No.
 If Yes, Repatriation Non Repatriation

11. SIGNATURES (Please use black ink)

First Applicant []
 Second Applicant []
 Third Applicant []

BRANCH NETWORK

Agra: 9837891777, 9897072526, **Ahmedabad:** 602, 6th Floor Sakar 5 Behind Natraj Cinema, Ashram Road, Ahmedabad-380014 Tel- 9327050566,9879134342,079-26582036 . **Allahabad:** 132 B/28, Anant Raj Plaza Complex, Shop-6, MG Rd. Allahabad, Pin:211001 Tel-9838037901, 0532-2424108, **Bangalore:**-Unit No-401 4th Floor No 12 Richmond Tower Richmond Road Bangalore- 560025 Tel-080-41145216, **Bhopal:** Mob.: 9303460739, **Bhuvaneshwar:** Arihant Plaza, Shop No.6 Ground Floor,B-50, Shaheed Nagar, Bhuvaneshwar (Orissa)-751007 Tel- 9938948998,0674-2540106, **Bokaro:**Sector 1C Qr No 178 Bokaro Steel City Jharkhand-827001 Tel-9334171028,9835772583 **Chandigarh:** SCO-50-51,1st Floor Sector-17A Chandigarh-160017, Tel-0172-2652716, **Kanpur** office No-311 Third Floor Kan Chambers 14/113 Civil Lines Kanpur-208001 Tel-9838427271,0512-2367927 **Karnal** - SCO 98, 2nd floor, Mugal Kanal Market Tel - 09255734734. **Dehradun:**248001 Tel.-9927700144,0135-2650142, **Faridabad:** Post Office, Escorts Nagar, Building, 1st Floor, Near Escorts Plant-1, Main Mathura road, Faridabad, Pin: 121005, Tel- 9873180688, **Gurgaon:** 9873180688, 9899431879, **Ghaziabad:** 9873180688, 9718316562 **Jaipur:** 2nd floor, Kataria Bhawan, M I Road, Jaipur-302001, Tel-9314519533, **Jamshedpur:**Kamani Centre Shop No-119 Third Floor Bistupur Jamshedpur-831001 Tel-9709169223,0657-2321909, **Jodhpur:** Exchange Building Shop No 17-B 18 MezzanineFirst Floor First Chopasani Road JodhpurTel-9829212716,0291-2652716, **Kolkata:**Crescent tower First Floor 229 AJC Bose Road Kolkata-700020 Tel.033-40036013. **Lucknow:** Chitrahra Second Floor 3 Nawal Kishore Road Lucknow-226001 Tel 9839107801, 9918101099, 0522-3261208, **Mumbai:**Room No 1B -1 Mezzanine Level 20 Raja Bahadur Mansion Ambalal Doshi Marg Hamam Street Behind BSE Fort Mumbai-400023 Tel-022-22626595, **Nagpur:**PMR Business Centre,Office-203, 2nd Floor, Laxmi Bhawan square, Dharmapeth, Nagpur, Pin: 440010,Tel-9881122258, **Noida:** Tel-9899431879, 9873180688, **Patna:**114-B Ashoka Place Exhibition Road Patna-800001 Tel-9835066510, 9334179682, 9308398877,0612-2500340, **Pune:** Global Trade Centre, Office No.8, 1/1, Rambaug Colony, LBS road, Navi Peth Pune-411030, Tel-9890809099, 9890571038,020-24335460, **Siliguri:** Tel-9933049191,9832007082, **Vadodra:** Siddharth Complex, Office No. 215, 2nd Floor, RC Dutta Road, Vadodra Pin: 390007, Tel-9327050566,9974144904,0265-3249118, **Varanasi:**B-21/2A, Chinatown Complex, Kamaksha, Nr. Rathyatra Crossing, Varanasi, Pin: 221010 Tel: 9839125720, 0542-2400954.

Name of the Scheme	Escorts Income Bond		Escorts Liquid Plan		Escorts Floating Rate Fund																																																																
Investment Objective	To generate current income by investing predominantly in a well diversified portfolio of Fixed Income Securities with moderate risk levels. This income may be complemented by possible Capital Appreciation.		To provide income and liquidity consistent with the prudent risk from a portfolio comprising of MoneyMarket and Debt Instruments. This income may be complemented by possible capital appreciation. The aim is to optimize returns while providing liquidity.		To generate regular income through investment in a portfolio comprising substantially of Floating Rate Debt Securities (including floating rate securitized debt, Money Market Instruments and Fixed Rate Debt Instruments swapped for floating rate returns).The scheme shall also invest in Fixed Rate Debt Securities (including fixed rate securitized debt, Money Market Instruments and Floating Rate Debt Instruments swapped for fixed returns).																																																																
Asset Allocation (% of Net Assets)	Money Market Securities: 0-25; Debt Securities: 40-90; Equity and Equity Related Instrument : 0-25		Money MarketInstruments: 90 – 100 Debt Securities: 0 - 10		Floating Rate Debt Securities: 65 – 100 Fixed Rate Debt Securities.: 0 - 35																																																																
Risk Profile of the Scheme	Mutual Fund investments are subject to market risks. Please read the SID/ Offer Document carefully for details on risk factors before investment.																																																																				
Plans & Options	Dividend Option Growth Option	Systematic Investment Plan Systematic Investment Plan Dividend Re-investment Plan Systematic Withdrawal Plan	Daily Dividend option Weekly Dividend Option Monthly Dividend Option Growth Option	Systematic Investment Plan Dividend Re-investment Plan Systematic Withdrawal Plan Systematic Transfer Plan	Dividend Option Growth Option	Systematic Investment Plan Dividend Re-investment Plan Systematic Withdrawal Plan Systematic Transfer Plan																																																															
Applicable NAV	The NAV applicable for purchase or redemption or switching of units will be based on the time of the Business Day on which application is accepted at Official Point of Acceptance. Please refer to page no. 8 for further details (Instructions).																																																																				
Minimum Applications Amt./Number of Units	Purchase: Rs. 1000/- and Multiples of Rs. 1/- Additional Purchase : Rs 1000 and Multiples of Rs.1/-, Repurchase: Minimum of Rs. 1000/-		Purchase: Rs. 1000/- and Multiples of Rs. 1/- Additional Purchase : Rs 1000 and Multiples of Rs.1/-, Repurchase: Minimum of Rs. 1000/-		Purchase: Rs. 1000/- and Multiples of Rs. 1/- Additional Purchase : Rs 1000 and Multiples of Rs.1/-, Repurchase: Minimum of Rs. 1000/-																																																																
Dispatch of Repurchase (Redemption) Request	Within 10 working days of the receipt of the redemption request at the authorized centre of Escorts Mutual Fund.																																																																				
Benchmark Index	CRISIL Composite Bond Fund Index		CRISIL Liquid Fund Index		CRISIL Liquid Fund Index																																																																
Dividend Policy	The Trustee may decide and declare dividend at such rests, as it deems fit, after the close of the relevant period, subject to availability of distributable surplus, from time to time.																																																																				
Name of Fund Managers	Mr. Jagveer Singh Fauzdar (Equity), Mr. Sanjeev Sharma (Debt)			Mr. Sanjeev Sharma																																																																	
Name of the Trustee company	Escorts Investment Trust Ltd.																																																																				
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INSTRUCTIONS

I. GENERAL INSTRUCTION

1. Please read the Key Information Memorandum, Statement of Additional Information and the Offer Document/Scheme Information Document, carefully before investing. All applicants are deemed to have read, understood and accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
2. The application form must be filled in English in BLOCK letters using Black or Dark Blue colored ink. Incomplete applications are liable to be rejected. Please ensure that the requisite details and documents have been provided. This help in avoiding processing delays and/or rejection of your Application Form. All subscription application forms should be submitted only at the designated Investor Service Center of Escorts Mutual Fund.
3. The Applicant's name and address must be given in full (P.O. Box No. alone is not sufficient). In case of multiple applicants, all communication and payments towards redemption will be made in the name of/favouring first applicant only. If the first applicant is a minor, the name of the Guardian who will sign on behalf of the minor should be filled in the space provided. Please fill in your date of birth, as this may be required for validating your identity for certain transactions/ communication. Also, please provide Telephone No./E-mail Id. of the first applicant, so as to facilitate faster and efficient communication.
4. All applicants must sign the form, (quoting existing Folio no, if any). Thumb impressions must be attested by a judicial Magistrate/Notary Public under his/her official seal. In case of HUF, the Karta should sign on behalf of the HUF, Authorised signatories, signing on behalf of a Co./Body Corp./society/Trust etc should sign under their official seal, designation. A list of Authorised Signatories with their names & designations duly certified / attested by the bankers should be attached with the application form.
5. Please note that if no Plan is ticked / indicated in the Application form, the units will, by default, be allotted under the Growth Plan of the Scheme. Similarly, Growth Option of the Growth Plan and Dividend Reinvestment Option of the Dividend Plan shall be the default sub-options. Also the status of first applicant (Individual/HUF/Company etc.) would be considered as others, in the case of non-indication.
6. In case of Mode of Holding is not mentioned for Joint Holder's the default mode of holding would be joint.
7. Joint applicants with a Minor shall be permitted only where the Minor (represented by guardian) is the 1st named applicant / beneficiary and only natural parents shall be permitted as joint applicants with a minor and the Holding basis shall be "Former or survivor" only. A Court-appointed Guardian shall not be permitted to apply as a joint applicant.
8. **Tax Benefit Under Section 80C:** Contribution made by individuals & HUF in Escorts Tax Plan will be eligible for deduction of the whole of the amount paid or deposited subject to a maximum of Rs. 100000/- under Section 80C of Income Tax Act, 1961 as provided therein.

II. APPLICANT'S INFORMATION

1. In case the application is made under a Power of Attorney (PoA), a duly certified copy thereof, duly notarized should be submitted with the application. The POA document should contain the signatures of both the Applicant & the constituted Attorney.
2. Application made by a limited company or by a body corporate or a registered society or a trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the Memorandum and Articles of Association or Trust Deed / Bye Laws / Partnership Deed, whichever is applicable.
3. In case of non-individual applicants, i.e. HUF / Companies / AOP / BOI / Trusts / Societies / FIs etc. the name, email-ID and telephone number of the contact person should be provided.
4. Permanent Account Number (PAN)
As per SEBI circular number MRD/DoP/Cir-05/2007 dated April 27, 2007. PAN shall be the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction w.e.f. July 2, 2007. Accordingly, It is mandatory for investor's to provide their PAN along with a self-attested copy of PAN card. If the investment is being made on behalf of a minor the PAN of the minor or father or mother or the guardian, who represents the minor, should be provided. Applications received without PAN/PAN card copy will be rejected.
5. Prevention of Money Laundering & Know Your Customer (KYC): W.e.f. 01st Feb 2008 it is mandatory for all applications for subscription of value of Rs. 50000/- above to quote the KYC Compliance Status of each applicant (guardian in case of minor) in the application for subscription and attach proof of KYC Compliance viz. KYC Acknowledgement Letter (or the erstwhile Mutual Fund Identification Number* (MIN) Allotment Letter).
6. All applications are accepted subject to detailed scrutiny and verification. Applications which are not complete in all respects are liable for rejection, either at the collection point itself or subsequently after detail scrutiny/verification at the back office of the registrars.

III. BANK DETAILS

1. As per the SEBI guidelines, it is mandatory for investors to mention their bank account details in the application form. In the absence of the bank details the application form will be rejected. Whenever possible / availability of electronic credit service, Escorts Mutual Fund (EMF) will give instruction to the investor's bank for direct / electronic credit for dividend / redemption payments and such instructions will be adequate discharge of EMF towards the said payment. In case the credit is not affected by the unitholder's banker for any reason Escorts Mutual Fund reserves the right to make the payment by a cheque / DD. In case it is delayed or not affected or credited to a wrong account, on account of incomplete or incorrect information by investor, EMF will not be held responsible. Please provide us the MICR Code (9 Digit)/IFSC Code (11 Digit) printed at right bottom of Cheque for ECS/NEFT credit of dividend and redemption payout.

IV. INVESTMENT & PAYMENT DETAILS

1. Payment should be made by crossed cheques/ DD/ Pay order, favoring the scheme name, "Escorts Income Plan / Escorts Gilt Plan / Escorts Opportunities Fund / Escorts Growth Plan / Escorts Liquid Plan / Escorts Floating Rate Fund / Escorts Balanced Fund / Escorts Tax Plan/Escorts Leading Sectors Fund / Escorts Power & Energy Fund / Escorts Income Bond / Escorts High Yield Equity Plan" and marked "Account Payee" payable locally in the city where the application is submitted. Post dated or outstation cheques/draft are not permitted. Application received with outstation cheque/demand draft shall be rejected.
If the scheme name on the application form and on the cheque is different, then the units will be allotted as per the Scheme name mentioned in the application form. Payment by cash is not permitted. Investors from such centers, who do not have a facility to pay by local cheque, as there are no designated investor service Centers of EMF, will be permitted to deduct the actual DD commission's charges. Documentary proof, thereof is to be attached. The amount of the DD commission charges will be limited to the actual charges paid or DD charges of State Bank of India, whichever is lower. Separate application form is required for investment in each plan/option.
2. Please mention 1st applicant's PAN no. and Name on the reverse of the cheque/demand draft tendered with the application.
3. In case the payment is made through India Rupee draft purchased abroad or from FCNR or NRE A/c, an Account Debit Certificate from the Bank issuing the draft, confirming the debit, must be accompanied with a photocopy of the Cheque or Account Debit Letter / Certificate from the Bankers.

V. NOMINATION

- (a) Nomination facility is available to individuals applying on their own behalf i.e. singly or jointly.
- (b) Unitholders being either parent or lawful guardian on behalf of a minor and eligible institution, societies, bodies corporate, HUF, AOPs, BOLS and partnership firms shall have no right to make any nomination.
- (c) The facility of nomination is available to a unitholder under SEBI (MFs) Regulations and guidelines issued by SEBI from time to time.

VI. APPLICABLE NAV

1. Sale and Repurchase (including Switch-out) of units of Escorts Mutual Fund: In respect of valid applications received upto 3 p.m. by the Mutual Fund along with a local cheque or a demand draft payable at par at the place where the application is received, the closing NAV of the day on which application is received shall be applicable. In respect of valid applications received after 3 p.m. by the Mutual Fund along with a local Cheque or a demand draft payable at par at the place where the application is received, the closing NAV of the next business/working day shall be applicable.
2. Dispatch of Repurchase (Redemption) Request: Within 10 working days of the receipt of the redemption request at the authorized center of Escorts Mutual Fund.
3. Daily Net Asset Value (NAV) Publication: The NAV will be declared on all working/business day. NAV can also be viewed on www.escortsmutual.com and www.amfiindia.com

VII. SYSTEMATIC INVESTMENT PLANS (SIP) / SYSTEMATIC TRANSFER PLANS (STP) UPTO RS. 50,000/- EXEMPT FROM PAN – (SEBI letter no. MRD/DoP/PAN/PM/A66999/2009 dated 19.06.2009)

1. Investments in SIP/STP in schemes of Escorts Mutual Fund upto Rs. 50,000/- per year per investor is exempted from the requirements of PAN. Such schemes may be operationalised with other standard specified identification instruments like Voter ID Card, Government/Defense ID Card, Card of reputed employer, Driving License, Passport.

IX. SEBI CIRCUAR ON ENTRY LOAD

"In terms of SEBI circular No. SEBI/IMD/CIR No.4/168230/90 dated June 30,2009, no entry load will be charged by the Scheme to the investor effective August 1,2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor"

X. TAX TREATMENT FOR THE INVESTORS (UNIT HOLDERS)

1. Investors will be advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.

XI. SYSTEMATIC INVESTMENT PLAN (SIP)

1. Investors can enroll themselves for systematic investment Plan (SIP) by filling SIP Application form. They can choose to invest a minimum of Rs. 1000/- on a monthly or Rs. 1500/- on a quarterly basis, subject to minimum of Rs. 6000/- per annum by enclosing along with their application for purchase sufficient number of post dated cheques (monthly or quarterly) / Auto Debit Mandate.
2. The Board of Trustees/AMC reserves the right to alter/vary the terms of SIP. The detailed instructions on SIP are available in SIP Application Form.