

Form No. B

Format for Declaration of Self Certification by the ARMFA who is sub-broker to be submitted to **principal ARMFA**

On appropriate letterhead (if available) by **sub broker to be addressed to principal ARMFA**

Date: _____
AMFI Registration Number (ARN): _____ From: _____

* Landline:
* Mobile:
* Email:

_____ (Principal ARMFA name)

Address 1
Address 2
Address 3
Pin

Sub: Declaration of Self-Certification (DSC): Period _____ / Financial Year _____

"I/we as sub-brokers to yourselves for the purpose of distribution of mutual funds hereby declare that all provisions made under the prescribed code of conduct under SEBI circular no. MFD/CIR/06/210/2002 dated June 26, 2002 and those prescribed under SEBI's subsequent circular no. MFD/CIR/20/23230/2002 dated November 28, 2002 and the AMFI circular no: CIR/ARN-09/08-09 dated July 18, 2008 have been adhered to."

In compliance with SEBI Circular no. SEBI/ IMD/CIR/No.4/168230/09 dated June 30, 2009, I/ we have disclosed to investors all the commissions (in the form of trail commission or any other mode) payable to me /us for the different competing schemes of various mutual funds from amongst which the scheme was recommended to our investors.

For _____ (firm name / individual name)

Authorised Signatory (ies) / Signature of sub-broker

*** Information of principal contact / sub-broker desirable for all important communication to be disseminated efficiently**

Note: This declaration has to be stored by the principal ARMFA for a period of at least 8 years